

High Performance Gene Delivery Polymeric Vector: Nano-Structured Cationic Star Polymers (Star Vectors)

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Abstract: Nano-structured hyperbranched cationic star polymers, called star vectors, were molecularly designed for a novel gene delivery non-viral vector. The linear and 3, 4 or 6 branched water-soluble cationic polymers, which had same molecular weight of ca. 18,000, were synthesized by iniferter (initiator-transfer agent-terminator)-based photo-living-radical polymerization of 3-(*N,N*-dimethylamino)propyl acrylamide, initiated from respective multi-dithiocarbamate-derivatized benzenes as an iniferter. All polymers produced polyion complexes 'polyplexes' by mixing with pDNA (pGL3-control plasmid), in which the particle size was ca. 250 nm in diameter [the charge ratio < 2/1 (vector/pDNA)] and ca. 150 nm (the charge ratio > 2.5/1), and the ζ -potential was ca. +10 mV (the charge ratio > 1/1). When COS-1 cells were incubated with the polyplexes 12h after preparation under the charge ratio of 5/1, higher gene expression was obtained as an increase in branching, with a little cytotoxicity. The relative gene expression to the linear polymer was about 2, 5, and 10 times in 3-, 4-, and 6-branched polymers, respectively. The precise change in branching of polymers enabled the control of the gene transfer activity.

Keywords: Non-viral vector, star polymer, polyplex, branched polymer, gene transfection, molecular design.

INTRODUCTION

The cationic polymers, which can generate nano-particles by formation of polyion complexes 'polyplexes' with DNA irrespective of its size and kind, are highly expected as one of the major materials for non-viral vectors [1-4]. However, the primary obstacle toward implementing an effective gene therapy using the cationic polymers remains their relatively inefficient gene transfection *in vivo* than virus vectors.

To achieve an enhancement of gene transfection using cationic polymers, numerous studies have been performed by various approaches; e.g., the chemical synthetic engineering approach in which the kind and composition of the polymers are modified [5,6], biochemical approach in which targeting ligands such as galactose, mannose, transferring, or antibodies into the polymers [7-11], functional molecular engineering approach in which stimulus-response polymers with light and thermal reactivity are designed as high performance vectors [12-14], and physical engineering approach in which physical stimulation with electroporation, gene gun, ultrasound and hydrodynamic pressure are provided at the transfection [2,15,16]. However, few studies in the molecular structure of cationic polymers, which are usually synthesized by conventional radical polymerization, has been reported, except for the effects of changes in the polymer chain length and composition of polymers [17-20] and complex multi-branching polymers, of which structural analysis is impossible [21-24]. Since precise molecular

design, including the molecular weight and three-dimensional structure, by conventional radical polymerization was quite difficult in general, the systematic structure-dependency of cationic polymers in gene transfection has not been established.

In this study, for examination of the effects of the molecular structure on gene expression we designed novel cationic polymers with star-shaped and symmetric structure, which is determined by 2-parameters, the degree of branching and chain length. Molecular design was performed by the iniferter (acts as *initiator-transfer agent-terminator*)-based photo-living-radical polymerization method pioneered by Otsu *et al.* [25-30]. An iniferter, benzyl *N,N*-diethyldithiocarbamate (DC) is dissociated into a benzyl radical and a dithiocarbamyl radical by ultraviolet light (UV) irradiation. The reaction involving an *N,N*-diethyldithiocarbamyl radical favors chain termination with a growing polymer chain radical end rather than a reaction with a vinyl monomer, whereas a benzyl radical reacts with a vinyl monomer to produce a polymer. These reactions proceed only during irradiation. Therefore, the chain length of the growing polymer is controlled by irradiation condition such as irradiation time or light intensity and the composition of the solution. We previously used the living radical polymerization for designing of various surface graft architectures [31-34] controlling the chain length, block graft chain, gradient chain length and regionally graft polymerized pattern surface. As the first step of the study, star polymers of the same molecular weight at a precise degree of branching of 0, 3, 4, and 6 were synthesized. The effects of the degree of branching on gene expression by measuring the luciferase activity were examined.

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MATERIALS AND METHODS

Materials

Benzyl chloride, 2,4,6-tris(bromomethyl)mesitylene, 1,2,4,5-tetrakis(bromomethyl)benzene, and hexakis(bromomethyl)benzene were obtained from Sigma-Aldrich (Milwaukee, WI). Sodium *N,N*-diethyldithiocarbamate and *N,N*-dimethylaminopropyl acrylamide were purchased from Wako Pure Chemical Ind. Ltd. (Osaka, Japan). Solvents and other reagents, all of which were of special reagent grade, were obtained from Wako and used after conventional purification. Plasmid DNA (pGL3-control), which contains the firefly luciferase gene, was obtained from Promega Inc., (Tokyo, Japan). ExGen 500 [poly(ethylene imine)] was obtained from Euromedex Inc., (Cedex, France).

Synthesis of Cationic Star Polymers

Cationic polymers including linear and three types of star polymers with 3, 4, or 6 branches per molecule were prepared by iniferter-based photo-living-radical polymerization of 3-(*N,N*-dimethylamino)propyl acrylamide as a monomer from respective iniferters such as benzyl *N,N*-diethyldithiocarbamate, 2,4,6-tris(*N,N*-diethyldithiocarbamylmethyl)mesitylene, 1,2,4,5-tetrakis(*N,N*-diethyldithiocarbamylmethyl)benzene, and hexakis(*N,N*-diethyldithiocarbamylmethyl)benzene, which were obtained by *N,N*-diethyldithiocarbamylation from respective benzyl halogenate derivatives such as benzyl chloride, 2,4,6-tris(bromomethyl)mesitylene, 1,2,4,5-tetrakis(bromomethyl)benzene, and hexakis(bromomethyl)benzene.

The general preparation method of iniferter is followed. An ethanol solution (10 ml) of chloromethyl benzene (4.8 g, 38 mmol) was added to an ethanol solution (50 ml) of sodium *N,N*-diethyldithiocarbamate (10.3 g, 46 mmol) at 0°C. After the mixture was stirred at room temperature for 24 h, the resulting sodium chloride was separated by filtration. The filtrate was concentrated under reduced pressure. The residue was added into 150 ml of water and extracted with ether (200 ml x 2) and washed successively with deionized water (100 ml x 3), followed the separation of the organic layer, drying over MgSO₄, condensation to give benzyl *N,N*-diethyldithiocarbamate: yield, 17.6g (93%); ¹H NMR (DMSO-*d*₆ with Me₄Si) 7.34 (m, 5H, C₆H₅), 4.54 (s, 2H, CH₂-S), 4.05 (q, 2H, N-CH₂), 3.73 (q, 2H, N-CH₂), 1.28 (m, 6H, CH₂CH₃).

The general procedure of iniferter-induced photo-living-radical polymerization is followed. A methanol solution (20 ml) of benzyl *N,N*-diethyldithiocarbamate (24 mg, 0.1 mmol) and 3-(*N,N*-dimethylamino)propyl acrylamide (3.9 g, 25 mmol) was placed into 50 ml quartz crystal tube. A stream of dry nitrogen was introduced through a gas inlet to sweep the tube for 5 min or more. The solution was then irradiated for 30 min with a 200 W Hg lamp (SPOT CURE, USHIO, Tokyo, Japan) in nitrogen atmosphere at 20~25 °C. Light intensity was set to 1 mW/cm² at the wavelength of 250 nm (UVR-1, TOPCON, Tokyo, Japan). The reaction mixture was concentrated under reduced pressure. The residue was dissolved in a small amount of methanol. The precipitate, obtained by the addition of a large amount of ether, was separated by filtration. Reprecipitation was performed in the

methanol-ether system. The last precipitate was dried in a vacuum to yield poly[3-(*N,N*-dimethylamino)propyl acrylamide] as a white powder. The molecular weight, determined by GPC analysis, was 18,000 g mol⁻¹. ¹H NMR (DMSO-*d*₆ with Me₄Si) 7.60 (br, 1H, N-H), 3.22 (br, 2H, NH-CH₂), 2.30 ((br, 2H, N(CH₃)₂-CH₂), 2.15 (br, 6H, N-CH₃), 1.65 (br, 2H, CH₂-CH₂).

General Methods

GPC analysis was carried out on a RI-8012 (TSK_{gel} - 3000 and -5000; Tosoh, Tokyo, Japan) after calibration with standard polyethylene glycol samples. The eluent was *N,N*-dimethylformamide. ¹H-NMR spectra were obtained on a Valian Gemini-300 (300 MHz) spectrometer (Tokyo, Japan). All ¹H-NMR spectra were recorded in DMSO-*d*₆ solutions using tetramethylsilane as the internal standard. Dynamic light scattering (DLS) measurements were carried out using a DLS-8000 instrument (Otsuka Electronics, Tokyo, Japan). An Ar ion laser (λ = 488 nm) was used as the incident beam. The sample was prepared by direct mixing of pDNA solution and the polymer in Tris-HCl buffer (pH 7.4). The DNA concentration of the mixture was then adjusted to 23 μg cm⁻³.

Cell Culture and Transfection

COS-1 cells (ca. 3 x 10⁴ cells per well) were seeded prior to treatment in 24-well plates and grown for 24 h in DMEM (Gibco, Invitrogen Corp., Carlsbad, CA) containing 10% fetal calf serum (Hyclone Laboratories Inc., Logan, UT), penicillin (200 units/ml, ICN Biomedicals Inc., Aurora, OH), and streptomycin (200 mg/ml, ICN) in an atmosphere of 5% CO₂ at 37 °C. Transfections were performed with 0.5 μg of plasmid DNA (pGL3-control) in 24-multi well dishes in 0.2 ml of OPTI-MEM I (Gibco). After 3 h of incubation, the cells were washed once with PBS, and cultured in 1 ml of DMEM containing 10% fetal calf serum for an additional 48 h. The medium was removed and the cells were washed twice with PBS. The cells were lysed with 0.2 ml of cell lysis buffer (Promega, Madison, WI) and mixed by vortexing. The lysate was centrifuged at 15,000 rpm for 1 min at 4 °C and 5 μl of the supernatant was analyzed for luciferase activity using a Luminous CT-9000D (Dia-latron, Tokyo, Japan) luminometer. The relative light unit/s (RLU) were converted into the amount of luciferase (pg) using a luciferase standard curve, which was obtained by diluting recombinant luciferase (Promega) in lysis buffer. The protein concentrations of cells lysates were measured by Bio-Rad protein assay (BIO-RAD, Hercules, CA) using bovine serum albumin as a standard. The expressed luciferase represented the amount (mole quantity), which is standardized for total protein content of cell lysate. The data are presented as means±S.D. (n=5).

Cytotoxicity

Cytotoxicity was assessed by cell viability assay using WST-8 method (Dojindo, Kumamoto, Japan). COS-1 cells were seeded 24 h prior to treatment in 96-well plates at 5,000 cells per well. Cells were treated with the same conditions used for luciferase assays, with a volume of 6.2 μl of the transfection mixture including 0.124 μg of pDNA added to

each well. Cells were treated with the appropriate conditions for 3 h, washed once with PBS, and cultures in 50 μ l of DMEM (Gibco) containing 10% fetal calf serum for an additional 24 h. Each well was added with 10 μ l of WST-8 reagent (5 mmol/l). After 2 h of incubation at 37 $^{\circ}$ C, absorbance at 450 nm was read in a BIO-RAD microplate reader (Model 680). The data are presented as means \pm S.D. (n=5).

RESULTS AND DISCUSSION

Preparation of Cationic Star Polymers

Four kinds of cationic polymers, consisting of one linear polymer and three star polymers precisely controlled the degree of branching to 3, 4, and 6, were molecularly designed (Fig. 1). The polymers were synthesized by the iniferter living radical polymerization using respective initiators, multi-dithiocarbamate-derivatized benzenes, which were prepared corresponding to the degree of branching. As the monomer, a cationic vinyl monomer with tertiary amino residues, 3-(*N,N*-dimethylamino)propyl acrylamide was used. Since polymerization could proceed only during irradiation, the chain length of the polymers could be easily controlled by the irradiation condition and the composition

of the solution. One linear and three kinds of star polymers with a molecular weight of about 18,000 with low polydispersity of about 1.5, irrespective of the degree of branching, were obtained. Therefore, the chain length in the polymers was set to about 6,000 with a degree of branching of 3, about 4,500 with a degree of branching of 4, and about 3,000 with a degree of branching of 6.

Polyplex Formation

When aqueous solutions of all obtained branched cationic polymers with same molecular weight were mixed with a Tris-HCl buffered saline of pDNA, marked high scattering intensity in quasi-elastic (dynamic) light scattering (DLS) measurements was immediately observed regardless of the degree of branching, indicating polyplexes formation from all cationic polymers. It was considered that the polyplexes formed by electrostatic interactions are same as other cationic polymeric vectors. The particle sizes of the polyplexes were measured using DLS. The DLS measurements showed that the cumulant diameter of the polyplexes was about 250 nm at a charge ratio less than 2/1 (vector/pDNA) and decreased to about 150 nm at a charge ratio more than 2.5/1(vector/pDNA). However, the particle

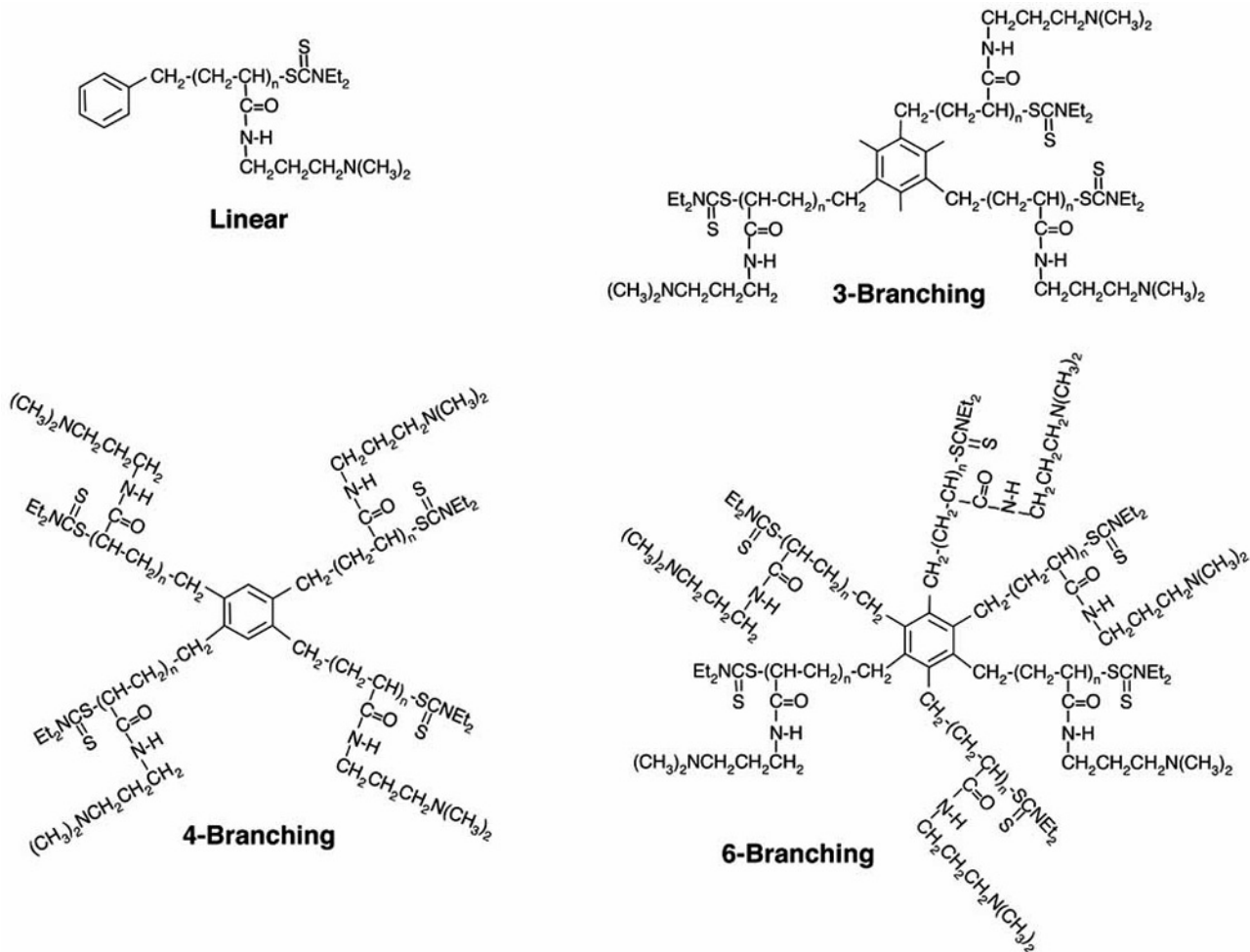


Fig. (1). Structural formulas of the star polymers, which were synthesized by iniferter-induced photo living radical polymerization of 3-(*N,N*-dimethylamino)propyl acrylamide from the respective multi-iniferters, *N,N*-diethyldithiocarbamate-derivatized benzenes.

sizes of the polyplexes were not significantly affected by the branching. In addition, ζ -potentials of pDNA polyplexes with the cationic polymers were measured to examine their electric property. The ζ -potential of the pDNA polyplexes was about +10 mV at a charge ratio more than 1/1(vector/pDNA). The difference in ζ -potential value between the polymers was little in each branching. Therefore, it can be said that there is little difference in physicochemical properties of the polyplexes produced from cationic polymers with different branching.

Cytotoxicity

Cytotoxicity of the pDNA polyplexes with the 6-branching polymer to COS-1 cells was studied by the cell survival rate using the WST-8 method. As shown in (Fig. 2) the cytotoxicity of the polyplexes was negligible up to a charge ratio of 5/1 (vector/pDNA). At charge ratios more than 5/1, the cytotoxicity was gradually reduced, and it was about 60% at a charge ratio of 20/1 (vector/pDNA).

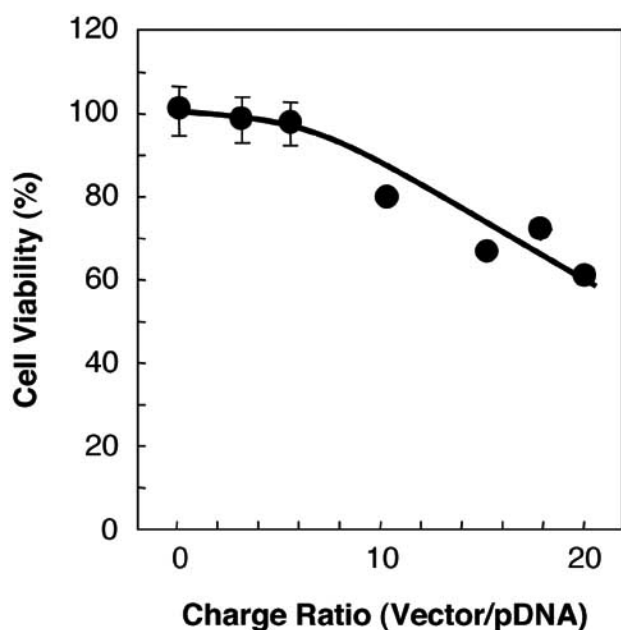


Fig. (2). Cytotoxicity of the polyplexes obtained immediately after mixing of DNA (pGL3-control) and 6-branching star polymer under the changing of a charge ratio (vector/pDNA), which was determined by cell viability assay of COS-1 cells using a WST-8 method. The data are presented as means \pm S.D. (n=5).

Gene Expression and Cell Viability

Gene transfer activity of the cationic polymers with same molecular weight of about 18,000 was examined and compared with that of ExGen 500 [35,36], which was one of major commercially available typical cationic polymeric vectors as a positive control. Figure 3 shows gene transfer activity of the cationic polymers at the charge ratio of 5/1 (vector/pDNA) in COS-1 cells. When pDNA alone was transfected, little luciferase activity was observed (data not shown). On the other hand, the luciferase was produced in all pDNA polyplexes. The enhancement of gene transfer activity in the use of the polyplexes may be due to acceleration of cellular uptake of pDNA polyplexes by

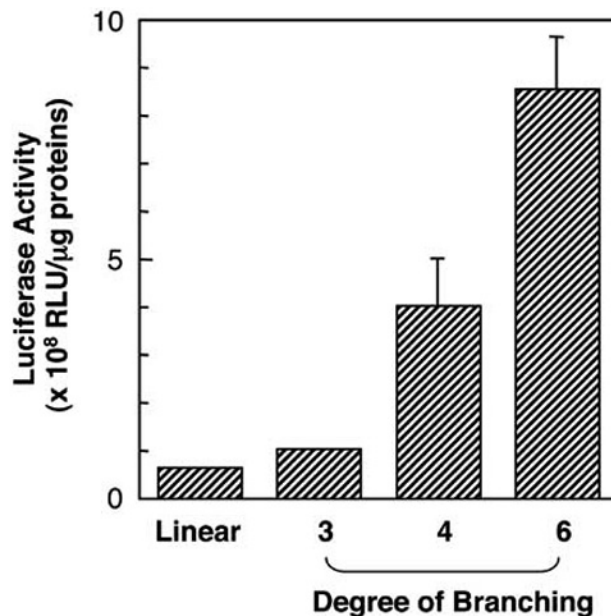


Fig. (3). Effect of branching of the star polymers on the level of luciferase gene transfer activity in COS-1 cells. COS-1 cells were treated with the polyplexes prepared by mixing of the star polymers and DNA (pGL3-control) under a charge ratio of 5/1 (vector/pDNA) 12 h after those preparation. The expression level was increased with increases in the degree of branching. The data are presented as means \pm S.D. (n=5).

endocytosis and endosomal release of the polyplexes by the proton sponge effect [37,38] in endosomes, similar to the other cationic polymers. The gene transfer activity of the pDNA polyplexes with the non-branched, linear cationic polymer was lowest, which was comparable with that of ExGen 500. However, the activity was increased by stage, corresponding to the degree of branching. The relative transfer activity to the linear polymer was about 2, 5 and 10 times in 3-, 4- and 6-branched polymers, respectively. As an increase in the degree of branching the transfer activity was almost exponentially increased. It can be said that the highly branched polymer called star vectors is useful for a gene delivery vector.

Cationic polymer-mediated transfection should overcome three major barriers for transfection, which includes binding of pDNA polyplexes to cell surface, endosomal release, and entry of pDNA into the nucleus. These barriers are strongly depended on the physicochemical properties of polyplexes such as net charge and particle size. Therefore, such properties markedly determine transfection efficiency. However, in the present study, transfection efficiency was strongly affected with the branching degree regardless of almost same physicochemical properties in pDNA polyplexes formed from the all branched polymers. The branching degree-dependent transfer activity changing may be estimated below. As an increase in the degree of branching the density of cationic charges in the branched polymers is increased. Higher charge density may affect the formation of higher compaction of pDNA polyplexes. The condensed pDNA polyplexes thus obtained may be stable in endosomes and also in aqueous media, which may prevent degradation

and aggregation of the polyplexes, respectively. Therefore, higher branching resulted in higher gene transfer activity.

The other star polymers as a gene delivery vector are easily designed by iniferter-based photo-living-radical polymerization. The composition of polymer chains can be determined by the kind of monomers, and the molecular weight by the irradiation time. Therefore, in addition to allowing design of the basic skeletal structure, the composition and length of polymer chains can be optimized as schematically shown in (Fig. 4). Changing the kind of monomers can control the composition of the polymer chains continuously or stepwise. To further increase the degree of branching, we will design the core molecules from benzene ring to naphthalene ring or combinations of benzene rings as multi-iniferters. Furthermore, formation of hyper branching structure by diverging of branching chains will be possible [34]. In the near future, the correlation between the three-dimensional structure in a star vector and the efficiency of gene expression will be clarified in detail.

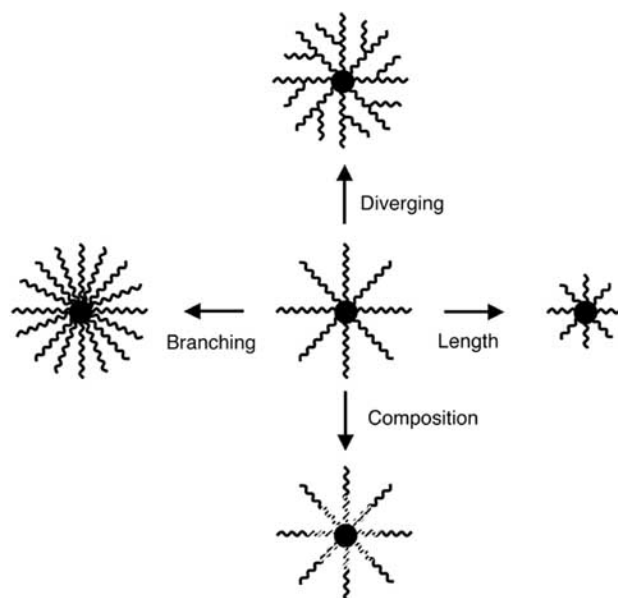


Fig. (4). Possibility in molecular design of various star polymers having different branching, diverging, chain length, or composition, which are based on iniferter-induced living radical polymerization.

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